



Interpreting EDI Reporting Requirements

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The EDI Implementation Guide's main reporting requirements are outlined into three individual spreadsheets provided by the IAABC: the Event Table, the Element Requirement Table, and the Edit Matrix. Virginia has taken these documents and made them specific to State reporting rules and requirements.

Event Table

The Event Table provides the criteria and timeframes for filing each MTC along with VWC's Mandate Dates.

The Event Table Contains:

- FROI Reports
- SROI Reports
- Periodic Reports

Using the Event Table

The three different report types are set-up and interpreted the same way.

Release	Report Type	Maintenance Type Code	Description	Criteria	From	Thru	Report Trigger Criteria	Trigger Value	Value	Due Type	When is the Report Due? From	Paper Form(s)	Receiver
3.0	FROI	UR	Upon Request	2	VWC's EDI Mandate Dates *		J = Jurisdiction Detined. Any injury deemed minor by Virginia, the claim is not denied and carrier wants to file a reduced data set. A Minor Injury is any injury not meeting any of the rules specified for the other FROI 00 submissions (Classified as a Major Injury as defined by 16 VAC 30-91-10). 16-VAC-30-00-20 Claim-Type-Code must be set to "F" (Notification-only Injury Severity Type Code must be set to "M" Minor Injury	NA	30	C	D = Administrator Notification		
3.0	FROI	00	Original	2	VWC's EDI Mandate Dates *		J = Jurisdiction Detined. Any injury deemed minor by Virginia, the claim is not denied and carrier wants to file a full data set. A Minor Injury is any injury not meeting any of the rules specified for the other FROI 00 submissions (Classified as a Major Injury as defined by 16 VAC 30-91-10). 16-VAC-30-00-20 Claim-Type-Code must be set to "F" (Notification-only Injury Severity Type Code must be set to "M" Minor Injury	NA	40 30	C	D = Administrator Notification		

Columns A – D provide the release number, the report type, and the Maintenance Type Code and Name.

Columns E – I provide the event rule, the Criteria that must be met in order to file that MTC and any trigger value that occurs in order to file the MTC.

Columns J – L provide the timeframe in which the transaction should be filed.

Column M advises if any paper forms are required in addition to the EDI transaction.

Example: FROI UR

- If the claim meets VWC's EDI Mandate Dates, a FROI UR can be filed if it is a minor injury that has not been denied and the carrier wants to file a reduced data set.
- The report is due within 30 calendar days from the date of the Claim Administrator's knowledge.
- No paper form is required to be submitted in addition to the EDI transaction.



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VWC's Mandate Date box can be found at the bottom of each Event Table and provides the effective date of certain EDI criteria that has changed since the implementation of EDI on October 1, 2008.

* VWC's Mandate Dates

- = **Effective July 1, 2009** all claims with a date of injury on or after October 1, 2008 must be reported to the Commission via EDI. (Note: Trading Partners were phased in beginning October 1, 2008, with a final mandate on July 1, 2009.)
- = **Effective July 1, 2012** all active claims with a date of injury prior to October 1, 2008 must be reported to the Commission via EDI. (Note: Voluntary submissions will be accepted beginning January 5, 2012, with a final mandate date on July 1, 2012.)
 - An "active" claim is a claim with any of the following:
 - o Open Award
 - o Payment currently being made for any benefit
 - o Current Denial/Dispute
 - o Claim for Benefits filed by Claimant
 - o Inactive claim where any of the above occur
- = **Effective July 1, 2015** a Change in Benefit Type (CB) transaction is due anytime the Claim Administrator switches the Injured Workers' benefit type from one Benefit Type Code to another and there is no gap in time/payments.

Element Requirement Table

The Element Requirement Table outlines the data element requirements for both FROI and SROI transactions along with the business rules that may be applied.

The Element Requirement Table Contains:

- FROI Element Requirements
- FROI Conditional Requirements
- SROI Element Requirements
- SROI Conditional Requirements
- Event Benefits Segment Requirements
- Event Benefits Segment Conditional Requirements

Interpreting the Legend

These codes are located at the top of each of the Element and Event Benefits Requirements.

M <i>(Mandatory)</i>	<ul style="list-style-type: none"> • Data Element must be present and in valid format • When marked for FROI 02, a change is not allowed but the element is required
MC <i>(Mandatory Conditional)</i>	<ul style="list-style-type: none"> • Data Element becomes mandatory under the condition(s) established in the respective Conditional Table
AA <i>(If Applicable/ Available)</i>	<ul style="list-style-type: none"> • Data Element should be sent if known • Data Element will not be edited on for accuracy
AR <i>(If Applicable/Available Transaction Rejected)</i>	<ul style="list-style-type: none"> • Data Element should be sent if known • Data Element will be edited on for accuracy
NA <i>(Not Applicable)</i>	<ul style="list-style-type: none"> • Data Element is not relevant to Virginia's requirements for the MTC • Data Element information may be sent but is ignored and not captured in Virginia's system
F <i>(Fatal Technical)</i>	<ul style="list-style-type: none"> • Data Element is essential to the transaction and must be present
X <i>(Exclude)</i>	<ul style="list-style-type: none"> • Data Element is not relevant to Virginia's requirements for the MTC • Data Element information should not be sent as it will cause the transaction to reject



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FY (Fatal yes change)	<ul style="list-style-type: none"> Data Element is essential to the transaction but can be changed on a MTC 02
Y (Change Allowed)	<ul style="list-style-type: none"> Data Element may be changed but is mandatory if it has ever been reported
y (Change Allowed – Match Data element)	<ul style="list-style-type: none"> Data Element may be changed Refer to Match Data Table
R (Restricted)	<ul style="list-style-type: none"> Data Element will not be accepted and will cause the transaction to reject

*This is not all the Data Element Requirement Codes provided by the IAIABC. The above only contains those codes Virginia uses throughout the Element Requirement Table.

Using the Element Requirement Table

The Element Requirements Table provides the requirements for each data element as it pertains to the MTC being submitted.

*FROI and SROI Element Requirements are used the same way.

	A	B	C	F	G	H	I	J	K	L
18	REC	DN#	DATA ELEMENT NAME	00	01	02	04	AQ	AU	UR
19	148	0001	Transaction Set ID	F	F	F	F	F	F	F
20	148	0002	Maintenance Type Code	F	F	F	F	F	F	F
21	148	0003	Maintenance Type Code Date	F	F	F	F	F	F	F
22	148	0004	Jurisdiction Code	F	F	F	F	F	F	F
23	148	0005	Jurisdiction Claim Number	MC	M	M	MC	M	AR	MC
24	148	0006	Insurer FEIN	F	F	FY	F	F	F	F
25	148	0012	Claim Administrator City	M	NA	Y	M	M	M	M
26	148	0013	Claim Administrator State Code	M	NA	Y	M	M	M	M

Column A indicates which record layout the data is located.

Column B indicates the Data Element Number.

Column C indicates the Data Element Name.

Columns F – L indicate the Data Element Requirement Code for each acceptable MTC in Virginia.

Example:

0005 - Jurisdiction Claim Number
 Located in the FROI 148 table
 FROI 00 = MC (*Mandatory Conditional*)

Jurisdiction Claim Number is Mandatory Conditional for a FROI 00. Go to the Conditional Requirements Table to determine if the data element is mandatory based on the listed condition.



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The Conditional Requirements Table provides the Business Condition(s) and the Technical Condition(s) for those data elements that are Mandatory Conditional.

*FROI and SROI Conditional Requirements are used the same way.

Req Code	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)	TECHNICAL CONDITION(S)
MC	0005	Jurisdiction Claim Number	Mandatory if a UR for the claim has been previously filed	Mandatory if UR exists for the claim.
MC	0005	Jurisdiction Claim Number	Mandatory on an 00, 04 or UR where the date of injury is before October 1, 2008	For MTC 00, 04 and UR, DN0005 is mandatory if DN0031 is prior to 10/01/2008
MC	0016	Employer FEIN	Mandatory on MTC 04 <u>unless</u> Full Denial Reason Code is 3 (no coverage), except when the denial is from a PEO	Mandatory for MTC 04 if DN0198 Full Denial Reason Code NOT = 3A, 3B, 3C, 3D, 3E, 3F, 3G, or 3H
MC	0017	Insured Name	Mandatory on MTC 04 <u>unless</u> Full Denial Reason Code is 3 (no coverage)	Mandatory for MTC 04 if DN0198 Full Denial Reason Code NOT = 3A, 3B, 3C, 3D, 3E, 3F, 3G, 3H or 3I
MC	0025	Industry Code	Mandatory on MTC 04 <u>unless</u> Full Denial Reason Code is 3 (no coverage)	Mandatory for MTC 04 if DN0198 Full Denial Reason Code NOT = 3A, 3B, 3C, 3D, 3E, 3F, 3G, 3H or 3I

DN0005, Jurisdiction Claim Number, has two conditions that would make the data element mandatory.

1. Mandatory if a UR has previously been filed on the claim.
2. Mandatory on an 00, 04 or UR if the date of injury occurred before October 1, 2008.

If either of these two conditions are met, this data element is now mandatory.

The Element Requirement Table also includes the Requirements and Conditions for the Event Benefit Segment.

The Event Benefit tab is different from the FROI & SROI tab as the Data Elements are listed across the top and not the MTC being reported.

Follow the benefit type, being reported over to locate the requirement code for each field in the benefit segment.

	A	B	C	D	E	F	G	H	I	J	K	L	M	N
For MTC's: AP, CB, EP, ER, IP, PY (Benefit Type Codes Other than 5XX), RB, P1, P2, P3, P5, PJ, S1-8, SD, SJ														
Legend:														
F = Fatal Technical														
M = Mandatory														
MC = Mandatory/Conditional														
NA = Not applicable														
R = Restricted														
X = Exclude														
Refer to IAABC Sweep vs. Event Rules.														
*Sweep Rule note related Employer Paid Population Rules: When DN0085 Benefit Type Code 2xx, then the requirements for sweep MTC's have the same edits (as MTC EP) for the Benefit Segment DN's as shown on the Event Benefits Segment Req Table.														
		Benefit Type	0085 Benefit Type Code	0002 MTC	0174 Gross Weekly Amount	0175 Gross Wkly Amt Eff Date	0087 Net Weekly Amount	0211 Net Wkly Amt Eff Date	0088 Ben Period Start Date	0089 Ben Period Thru Date	0090 Ben Type Claim Weeks	0091 Ben Type Claim Days	0086 Ben Type Amount Paid	0192 Benefit Payment Issue Date
7	Fatal	010	MC	F	NA	NA	NA	NA	MC	MC	MC	MC	MC	MC
8	Permanent Total	020	MC	F	NA	NA	NA	NA	MC	MC	MC	MC	MC	MC
9	Permanent Total Supplemental	021	R	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
10	Permanent Partial Scheduled	030	MC	F	NA	NA	NA	NA	MC	MC	MC	MC	MC	MC
11	Permanent Partial Unscheduled	040	R	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
12	Temporary Total	050	MC	F	NA	NA	NA	NA	MC	MC	MC	MC	MC	MC
13	Temporary Total Catastrophic	051	R	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
14	Temporary Partial	070	MC	F	NA	NA	NA	NA	MC	MC	MC	MC	MC	MC



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Then use the Event Benefit Conditional Requirements if the field is 'MC' to determine if it is mandatory.

	A	B	C	D	E
1	BENEFIT DATA ELEMENT				
2	Req Code	DN#	DATA ELEMENT NAME	BUSINESS CONDITION(S)	TECHNICAL CONDITION(S)
4	MC	0085	Benefit Type Code	Must be present if the Benefit Type has ever been paid on the claim.	Mandatory if DN0288 Number of Benefits is greater than zero
5	MC	0088	Benefit Type Amount Paid	Must be present if there is a Benefit Type Code	Mandatory if Benefit Type Code (DN0085) is present
6	MC	0088	Benefit Period Start Date	Must be present if there is a Benefit Type Code	Mandatory if Benefit Type Code (DN0085) is present
7	MC	0089	Benefit Period Through Date	Must be present if there is a Benefit Type Code	Mandatory if Benefit Type Code (DN0085) is present
8	MC	0090	Benefit Type Claim Weeks	Must be present if there is a Benefit Type Code	Mandatory if Benefit Type Code (DN0085) is present
9	MC	0091	Benefit Type Claim Days	Must be present if there is a Benefit Type Code	Mandatory if Benefit Type Code (DN0085) is present
10	MC	0192	Benefit Payment Issue Date	Must be present on the Initial Payment of indemnity benefits for the claim	Mandatory if Maintenance Type Code (MTC) = IP or PY for the first time reporting of any applicable Benefit Type Code = 0xx. Example: If MTC IP filed with Benefit Type Code 0xx and later a MTC PY is filed, the edits on the Benefit Segment for the MTC PY Benefit Type Code 0xx should be based on Sweep Rules.

Edit Matrix

The Edit Matrix consists of five components that outline the edits applied by Virginia to each accepted data element.

The Edit Matrix Contains:

- DN-Error Message
- Value Table
- Match Data Table
- Population Restrictions
- Sequencing

Using the Edit Matrix Table

The **DN-Error Message** tab provides standard error messages to use in association with the edits applied to the data elements and indicates if a data element has a population restriction to consider when entering the data. **Instructions on how to use/interpret the DN Error Message table can be found in Training Aid #12 – Transaction Rejection.*

The **Population Restrictions** tab provides the data population or the code value limitations applied to the data elements and provides the element error text received, for those data elements, on rejected transactions. ** Instructions on how to use/interpret the Population Restrictions table can be found in Training Aid #12 – Transaction Rejection.*



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The **Value Table** tab provides a list of acceptable code values for specific data elements.

B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q
Section 1 – Code values that are ‘Not Statutorily Valid’ (Code values that are grayed out): VWC has indicated the code values that are not statutorily valid. A 'N' in the capture column indicates that the data is not captured in Virginia. A code value that has been grayed out indicates that the code is 'Not Statutorily Valid' in VWC. VWC will return Error 1. The code values that are not grayed out are the code values that are statutorily valid and will be processed by VWC.															
2	DN	Element Name	Capture?	Acceptable Code Value List - grayed out indicated											
3	0002	Maintenance Type Code (for FROI)	Y	00	01	02	04	CO	AQ	AU	UI	UR			
4	0002	Maintenance Type Code (for SROI)	Y	02	04	AB	AP	CA	CB	CD	CO	EP	ER	FN	IP
5		Maintenance Type Codes (for SROI continued)		RB	RE	S1	S2	S3	S4	S5	S6	S7	S8	S9	SD
6	0039	Initial Treatment Code	N	0	1	2	3	4	5						
7	0053	Employee Gender Code	Y	F	M	U									
8	0054	Employee Marital Status Code	Y	U	M	S	K								
9	0058	Employment Status Code	N	C	9	8	A	B	1	2	3	6	4	5	7 (see

Column B indicates the Data Element Number.

Column C indicates the Data Element Name.

Column D indicates if the Data Element is captured in Virginia.

Columns E - AJ list the codes acceptable for the each data element. The codes that are grayed out are “Not Statutorily Valid” in Virginia.

Example:

0002 - Maintenance Type Code (for FROI)
 The Data Element is captured in Virginia

The table indicates a FROI 00, 01, 02, 04, AQ, AU and UR are accepted in Virginia but a FROI CO and UI is not.

The **Match Data Table** tab identifies which data elements are used as primary or secondary “match” data elements to determine if a new JCN should be created or if the transaction should be matched to an existing JCN.



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The Match Data Table is designed to convey which data elements should be used as primary or secondary "match" data elements. It is used to identify a transaction as a new claim to create, or match to an existing claim for duplicate checking, updating and processing. On a specific claim, a primary "match" data element value may change and prevent a match. When there is no match on one of the primary "match" data elements on a change transaction, secondary "match" data elements are used to match a claim. Refer to *Information and Data Reporting* in Section 2 of the VWC Implementation guide.

Match Data Elements can only be changed on a MTC 02 Change transaction. Only one Match Data Element can be changed on the same MTC 02 Change transaction. If more than one Match Data Element is changed on the same MTC 02 Change transaction, an error message 117- Match data value not consistent with value previously reported will be returned resulting in a TR-Transaction Rejected acknowledgment.

At the discretion of the jurisdiction, a 02 transaction may include one or more changed match values at a time but a minimum of two must remain the same in order to accomplish the match of the trading partner's records. Note: Data Elements within the "Transaction Grouping" cannot be changed on MTC 02 Change transaction; they will only be used to recognize duplicate transactions (ie. 00, IP, EP, etc).

VWC Exception: Per the Multiple match data element changes Category legend located below, VWC will allow changes to multiple match data elements within Category 1 OR Category 4 OR change to one data element that is not included in a category. Refer to Match Data Rules in Section 4.

Note: DN0043 Employee Last Name and DN0044 Employee First Name will be processed as one Match Data field in the case

GROUPING	DN	DATA ELEMENT NAME	New Claims	Existing Claims	Corrections
Claim	0004	Jurisdiction Code			NA
	0005	Jurisdiction Claim Number		P	NA
	0015	Claim Administrator Claim Number			NA
Claimant		Employee ID	P	S	NA
		Employee SSN – Preferred (DN0042)			NA
		Employee Green Card (DN0153)			NA
		Employee Employment Visa (DN0152)			NA
		Employee ID Assigned by Jurisdiction (DN0154)			NA
		Employee Passport Number (DN0156)			NA
Claim Administrator	0031	Date of Injury	P	S	NA
	0043	Employee Last Name	P	S	NA
	0044	Employee First Name	P	S	NA
	0052	Employee Date of Birth			NA
Claim Administrator	0187	Claim Administrator FEIN	S	S	NA
	0014	Claim Administrator Postal Code			NA
Employer	0026	Insured Report Number			NA
	0016	Employer FEIN	P	S	NA
	0023	Employer Physical Postal Code			NA
	0028	Policy Number			NA
Insurer	0006	Insurer FEIN			NA
Transaction	0295	Maintenance Type Correction Code			NA

Column A indicates which group the Data Element falls in.

Column B indicates the Data Element Number.

Column C indicates the Data Element Name.

Columns D & E indicate if the data element is considered Match Data for new or existing claims and if it is considered to be a primary or a secondary match.

When a Data Element is considered 'match data', only one data element can be updated at a time. This means that if more than one match data field needs to be updated, a FROI 02 must be submitted for each update needed after waiting for one transaction to accept prior to filing the next transaction.

Exception:

1. Employee First Name and Employee Last Name needs to be updated
2. Employer FEIN, Insurer FEIN, and Claim Administrator FEIN needs to be updated

In the following scenarios, one FROI 02 can be submitted to make updates to more than one data element at the same time.

Category	Conditions	Applicable?
1	Employee First Name (DN0043) and Employee Last Name (DN0044)	yes
2	Insurer FEIN (DN0006) and Claim Administrator FEIN (DN0187)	no
3	Claim Administrator postal code (DN0014) and Claim Administrator FEIN (DN0187)	no
4	Employer FEIN (DN0016), Insurer FEIN (DN0006), and Claim Administrator FEIN (DN0187)	yes
5	Employer FEIN (DN0016), Insurer FEIN (DN0006)	no
6	Employer Physical Postal Code (DN0023) and Claim Administrator Postal Code (DN0014)	no
7	7 or greater - jurisdiction must define custom allowable combinations	no



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The **Sequencing** tab provides the standard error messages received in relation to the sequencing of transactions and should be used in correlation with the Event Table to determine the proper sequencing requirements. Merged columns, like 3A through 3E or 16A through 16E, are important to reference while determining sequencing because they can provide critical information to prevent rejections.

	A	B	C	D	E
	Apply Seq Edit? Y, N, NA	Incoming Maintenance Type Code	MTC NAME	Element Error Number (DN0116)	Element Error Text (DN0291)
2					
3	Business Event Group 1. Establish Claim or New Claim Administrator				
4		1a. Minor Injury			
6	Y	UR - FROI	Upon Request		
8		1b. Report of Injury			
9	Y	00	Original		
10		1c. Denial			
11	Y	04 - FROI	Full Denial FROI		
12		1d. Acquired Claim			
13	Y	AQ	Acquired Claim	063	No previous 00 from prior Clm Admin accepted
14		1e. Acquired Claim Unallocated			
15	Y	AU	Acquired/Unallocated		
16	Business Events 2b and 2c can occur once during the life of the claim. 3 can occur multiple times until benefits are suspended (Event 4). Event 2b or 2c may or may not occur after 2a. Event 2c may or may not occur after 2b. However, once Event 2b or 2c occurs, Event 4 must occur				
17	Business Event Group 2. Initial Payment of Indemnity or equivalent				
18		2a. Non-payment of Indemnity			
19	Y	04 - SROI	Full Denial SROI	063	Event 1a, b, d or e (FROI) not previously accepted
24		2b. Salary in Lieu of Compensation			
25	Y	EP	Employer Paid	063	Event 1b, d or e (FROI) not previously accepted
26		2c. Initial Payment of Weekly Benefits			
27	Y	IP	Initial Payment	063	Event 1b (FROI) not previously accepted
28		2d. Initial Payment by New Claim Administrator			
29	Y	AP	Acquired/Payment	063	Event 1d or 1e (FROI) not previously accepted
30	Business Event Group 3. Changes to benefits (if applicable). May occur multiple times after Event 2b, 2c or 2d.				
33	Y	CB	Change in Benefit Type	063	Event 2b, 2c, or d (SROI) not previously accepted

- Column A indicates if Virginia applies the sequencing edit.
- Column B indicates the Maintenance Type Code.
- Column C indicates the Maintenance Type Code Name
- Column D indicates the Element Error Number.
- Column E indicates the Element Error Text.

Example:
 SROI CB submitted
 Rejection: Event 2b, 2c, or 2d (SROI) not previously accepted
 Go up to Event 2b, 2c, or 2d – A SROI EP, IP, or AP must be accepted prior to submitting the SROI CB.